



COUNTY GOVERNMENT OF BUSIA

BUSIA MUNICIPALITY

ANNUAL URBAN AREA INVESTMENT PLAN AND BUDGET

FY/2019-2020

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CHAPTER ONE:

1.1 Kenya Urban Support Programme (KUSP) overview

The Kenya Urban Support Programme (KUSP) is a World Bank program intended to: *Support the establishment and strengthening of urban governance and management institutions and systems to deliver improved infrastructure and services in municipalities and cities in 45 participating counties in Kenya.*

Through the provision of grants to counties, for both institutional strengthening and urban infrastructure and services, the programme seeks to incentivize county governments to put the provisions of the UACA (2011) in place and establish appropriate institutional arrangements for urban development.

In order to achieve the development objective as stipulated above, the programme has two interlinked components.

(i) The urban development grant (UDG)

The mainstay of the programme is the urban development grant (UDG) for infrastructure and urban services. Under this component, Busia County government has accessed 101M, for the year 2018/19 after it meet certain minimum conditions and performance standards that were linked to the establishment of urban governance institutions and is set to access yet another 101M for the year 2019/2020

(ii) The urban institutional grant (UIG)

To help the county government meet the minimum conditions and performance standards to access the urban development grant, Busia accessed the urban institutional grant.to the tune of 41M and will be receiving another 9M for the year 2019/2020. This grant is meant for any activity or investment that, in a sustainable and institutionalised manner, raises the profile of and attention for urban development and urban service delivery

1.2 The Background for the preparation

The Annual Municipal Investment Development plan (IDeP budget) has been prepared pursuant to provision of Urban Areas and Cities Act (UACA 2011) and in compliance with the KUSP Program Operation Manual (POM). The Plan and Budget outlines the specific project/programmes to be implemented using the World Bank funded conditional grants dubbed Urban Development Grant (UDG). The program/project have been selected from eligible investment priority areas, table 4.5, POM Vol.1.

The plan has been prepared by a Consultant together with Staff drawn from key project implementing departments with emphasis on the departments responsible for implementing development projects that fall within the eligible investment priority areas. The staff members were from specific directorates including: Economic Planning, Lands, Housing, Urban Development, Environment, and Roads and Public Works.

The preparation of the plan was anchored on the five year development plan popularly known “County Integrated Development plan, 2018-2022”. Preparation of the CIDP was a consultative process that intensely involved multiple public participation fora in line with provisions of Section 87 of the County Governments Act. During preparation of CIDP 2018-2022, Members of the public were given a platform through which they presented and prioritized the projects and programs to be implemented within the five year period.

The Municipal Investment Plan is an extract of the CIDP taking into consideration the eligible investment priority areas as enshrined in the Project Operations Manual of the Urban Support Program

1.3 Principles on the municipal investment plan

This Municipal Investment Plan will be guided by the following principles as stipulated in the Busia Urban Integrated Development Plan:

1. **Sustainable development:**

The plan is enhancing sustainable utilization of resources for the current generation without compromising the needs for future generation

2. Enhanced accessibility:

The plan supports opening up of access roads and tarmacking of existing all-weather roads within the municipality

3. Environmental conservation:

The plan promotes environmental sustainability balancing, social, economic and environmental needs

4. Inclusivity-

Multi-sectorial, multidisciplinary and participatory approach: The plan follows all-inclusive process. It requires that all stakeholder groups are represented: local direct and indirect users, public authorities, private investors, NGOs and CBOs

CHAPTER TWO:

2.0 Investment Prioritization

This municipal annual investment plan highlights the projects that will be implemented in the year 2019/2020. The prioritization of projects were provided by the executive, the municipal board and the public and. Those prioritized by the executive are found within the Annual Development Plan (ADP) and County Investment and Development Plan (CIDP), which are the planning documents for the county. The prioritized projects are listed below as follows:

2.1 Projects identified by the Municipal Board

The projects identified by the board are contained in the County Urban Institutional Development Strategy (CUIDS) that is reflected in the 5-year CIDP. In this document some of the challenges identified and required attention were

- Lack of proper waste disposal sites in Busia urban centre- thus leaving only one dump site for Whole Municipality which still are in deplorable state.
- Lack of urban policy and spatial/integrated urban plans to guide urban growth.
- Lack of sewerage systems
- Lack of storm water drainage system
- Lack of amenities like slaughter houses, stadiums, cemetery, library and land for expansion within the peri urban and agricultural rural set up.
- Lack of bitumen roads
- Lack of built up market areas to serve the huge cross border traders creating huge population of hawkers and
- substandard stalls to meet the huge demand

The Table below summarizes the Busia Municipality Matrix

Table1. Busia Municipality Matrix

Feature	Specifics	Commends/Remarks/Situation
Geographical and demographic data	Location	Coordinates (0.4346 51, 34.2421 6)
	Estimated Population	61,715 (2009 census) Project ions 2017-78,342
	County capital (Y/N)	Yes its county headquarter and gateway to the county as well as border town to greater east and central Africa
Institutional status	Pre-2010 administrative status	Municipal council of busia Town status
	Current administrative status and/or current urban management arrangements	Municipality Status
Urban management	Board or Committee (Y/N)	Town Management committee in place
	Municipal manager or administrator (Y/N)	Yes Municipal manager in place
	Office (Y/N)	Yes Municipality have an office
	Staffing of municipality or town administration	2 professional technical staff
	Budget and finance	Yes The budget is part of the departmental budget (Lands) FY 2016-17 Town Admin had Ksh5m 2017/8 16.5m
	Urban planning	IDEP available Pending public participation and approval
	Infrastructure and	<ul style="list-style-type: none"> • Street lighting

	service delivery responsibilities	<ul style="list-style-type: none"> • Garbage collection • Drainage system • Bus parks Maintenance of public places recreational parks • Opening access roads • Stalls management
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Source: County Urban Institutional Development Strategy 2018

From the Matrix above, the following Infrastructure and service delivery responsibilities that should be prioritized considering the challenges highlighted above are:

- Need for bitumen roads
- built up market areas to serve the huge cross border traders creating huge population of hawkers
- Standard market stalls to meet the huge demand

2.2 Summarized priorities raised by the County Government (Assembly and Executive) and the public

As noted above, the projects prioritized by the executive are found within the ADP and CIDP, which are the planning documents for the county. Here in therefore presents key county development priorities, strategies and programmes and projects as identified by stakeholders in the county through inclusive and participatory processes.

During the 2018-2022 planning period, the department will implement programmes targeting co0operative growth, trade enhancement. Under trade the departemtnent prioritized market mordernisation as a meens of increasing household incomes. In the department of roads and public transport, the proposed programmes are designed to provide affordable and vibrant industry, innovation and infrastructure and develop sustainable towns, markets and community centres. Is increased number of Kilometres of roads upgraded to bitumen standards

CHAPTER THREE:

3.0 URBAN INVESTMENT PLAN AND BUDGET FOR UIG FY 2019/ 2020

The total available UIG budget is Ksh 9,000,000 having met the minimum conditions. The funds will be used to facilitate creation of institutional frameworks to absorb the grant in Window 3.

Table 2. URBAN INVESTMENT PLAN AND BUDGET FOR UIG FY 2019/ 2020							
URBAN INSTITUTIONAL GRANT-UIG, 2019/2020							
Activity Number	Name of the Activities	Time Line		Source Of Funds			
		Start Date	End Date	UIG	Othe r-1	Other -2	Total budget
BCG/KUSP1/19/20	1. Preparation of the County Solid Waste Management Policy	1 st , October 2019	30 th , November 2019	3,500,000	0	0	3,500,000
BCG/KUSP2/19/20	2.Public participation on the county Solid Waste Management Policy	5th Dec 2019	10th, Dec 2019	1,775,000	0	0	1,775,000
BCG/KUSP3/19/20	3..Managerial courses	Jan	March	770,000	0	0	770,000
BCG/KUSP4/19/20	4. Sitting allowances for Municipal board members	1st, January 2020	30th, June 2020	1,030,000	0	0	1,030,000
BCG/KUSP5/19/20	5.Preparation of the Municipality Investment Plans, Y19/20 and Y20/21	8th, February, 2020	12th, April, 2020	2,157,500	0	0	2,500,500
TOTAL							8,545,000
Mislenous							455,000
GRANT TOTAL							9,000,000

Here below is the Proposed Implementation Schedule for the UIG –Activities

Table 3: Municipal Investment Budget FY 2019/2020 – UIG												
ACTIVITY	TIME FRAME											
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Preparation of the County Solid Waste Management Policy												
Public participation on the county Solid Waste Management Policy												
Managerial courses												
Sitting allowances for Municipal board members												
Preparation of the Municipality Investment Plans, Y19/20 and Y20/21												

3.1 URBAN INVESTMENT PLAN AND BUDGET-UDG FY 2019/ 2020

The UDG menu of eligible investment/expenditure areas are classified into five categories, namely:

1. Waste management (Liquid and solid)

2. Storm water drainage
3. Connectivity (roads, non-motorized transport facilities, street and security lights)
4. Urban Socio Economic infrastructure
5. Fire and Disaster management

This investment plan for this financial year has identified the following two investment areas

I. Connectivity (roads, non-motorized transport facilities, street and security lights)

The municipal board will endeavour to undertake the following: upgrading of murrumbidgee roads to low volume seal tarmac road.

II. Urban Socio Economic infrastructure

Under this investment area the municipal board will undertake the following: fabrication of modern market stalls

Table 4. URBAN INVESTMENT PLAN AND BUDGET-UDG FY 2019/ 2020								
URBAN DEVELOPMENT GRANT-UDG, 2019/2020								
Activity Number	Name of the Activities	Specific Actions to be Carried Out	Time Line (start and end dates)		Source of funds			Total budget
					UDG	Others-1	Others-2	
BCG/KUSP6/19/20	6. Urban socio-economic infrastructure	Modern Market Stall	Nov-19	Apr-20	45,115,000	0	0	45,995,000
BCG/KUSP7/19/20	7. Connectivity (roads, non---	Walkways and access roads	Nov-19	Apr-20	50,000,000	0	0	50,000,000

	motorized transport facilities, and street and security lights)												
	5% set for the planning and consultancy												5,005,000
TOTAL													101,000,000

Proposed Implementation Schedule

Table 3: Municipal Investment Budget FY 2019/2020 – UDG

Project	Activities	TIME FRAME											
		Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Urban socio-economic infrastructure- Modern Market Stalls	Project preparation designs	█	█	█	█								
	Procurement					█	█	█					
	Project Implementation								█	█	█	█	
Connectivity (roads, non-motorized transport facilities,	Project preparation designs	█	█	█	█								
	Procurement					█	█	█					
	Project Implementation								█	█	█	█	

3.2. Justification and Expected out comes

3.2.1 Modern Market Stalls

The Municipality wish to fabricate and erect modern Market stalls is informed by the current need of many traders who hawk there merchandise while others sale outdoors. This affects them greatly because of very unpredictable weather in this region. The establishment of market stalls is projected to not only give the traders a clean sheltered secure place to do their business but also boost revenue collection through market levies. The department shall progressively set up more stalls in bid to promote trade and commerce in the county.

3.2.2. Bitumen road

This creates the smoothest surface for driving. Smooth roads allow superior contact with vehicle tires for a safer Smooth roads aren't just safer, they also save money. Reduced wear and tear on vehicles due to fewer bumps in the road brings down vehicle operating costs. The road is equally Traveler- and business-friendly and more so environmentally friendly

3.3 Implementation modalities

The projects will be implemented through the Municipality which will be the lead agency in line with the Urban Areas and Cities Act. The Municipality will undertake project implementation in collaboration with line agencies including NEMA, Busia Water and Sewerage Company and other line Departments.

Annex 1: Pre-feasibility studies for proposed project

COUNTY	BUSIA	Urban Area	BUSIA MUNICIPALITY
Type of Project	CONNECTIVITY/URBAN ECONOMIC INFRASTRUCTURE		
Name of Project	CONSTRUCTION OF 0.6 KM ROAD		
1	Confirm proposed infrastructure project is in conformity with the KUSP investment menu	√	Economic Infrastructure
2	Confirm that the proposed infrastructure project comes from an approved CIDP, a Town Plan, Municipal Investment Plan or IDeP	√	Municipal ADP
3	Physical location of proposed infrastructure project (indicate) Neighbourhood? Coordinates?	√	00°27'48.0"N, 34°06'19.0"E (Latitude:0.463333; Longitude:34.105278
4	Confirm land ownership and accessibility: that there are no encumbrances to title and access (Indicate the documentary evidence available)	√	County
5	Confirm its land-use feasibility (will it get planning permission), as part of a feasibility report	√	Prefeasibility study report available
6	Stage at which designs are (indicate)	×	Inception
7	Confirm the availability of engineering designs, Bills of Quantities (BOQs) and drawings, prepared by registered, engineering, quantity surveying and /or architectural professionals	×	Inception
8	Confirm design standards used (indicate)	×	Inception
9	Confirm whether designs take into account urban resilience, gender, disability and climate change considerations	×	At inception
10	Confirm proposed infrastructure project cost estimate (indicate)	×	Ksh. 50 million
11	Confirm alternative funding if available (indicate)	√	County will indicate clearly amount for alternative funding
12	Confirm review and endorsement of proposal by other county departments if applicable (indicate)	√	County to confirm
13	Confirm whether project is a new proposal or a partially implemented. If partially implemented, indicate reasons for stoppage (indicate)	√	New
14	Confirm operations and maintenance arrangements (indicate)	√	County to indicate
15	Confirm availability of Environmental and Social Impact Assessment documents/tools prepared by registered professional(s) and status of acquisition of National Environment Management Authority (NEMA) license for project. This to also include a Resettlement Action Plan (RAP) were applicable (indicate)	×	Process ongoing
16	Confirm availability of team/experts to supervise/	√	Team available under the CPCT

	execute environment and social management (indicate)		
17	Confirm whether there are social issues surrounding the project (indicate)	√	Resettlement of existing traders
18	Confirm availability of a grievance handling mechanism or arrangements for handling/resolving social issues if any (indicate)	√	Municipal Social Development team and Sub-county administrators
19	Confirm process undertaken for citizen engagement and participation for the proposed infrastructure project (indicate)	√	Citizen Fora held
20	Confirm expected number of beneficiaries of the proposed infrastructure project including gender dimension (indicate)	√	Estimated 300 Artisan , 500 traders
21	Confirm economic justification for the proposed infrastructure project (indicate)	√	Opening up of the area economically Increase in revenue through taxes..
22	Confirm how county will ensure there will be value for money for the proposed infrastructure project (indicate)	√	To confirm through M&E
23	Establish the capacity of the organisation to administer the procurement process (indicate)	√	County Procurement Dept in place and able to administer the procurement process needed under the programme
24	Confirm the level of knowledge and awareness by County Program Coordinating Team of Bank's Financial Management, Procurement and Anti-corruption guidelines regarding the program (indicate)	×	Capacity building needed
25	Establish the capacity of the organisation to supervise the implementation of the project (indicate)	√	Relevant Municipal Staff have been deployed by the County HR. Consultant Engineer procured to provide supervision support
26	Check current practices for constructing infrastructure in urban areas in the county (indicate)	√	Approvals, supervision done through set standards. County/Municipality working with line

Annex 2: Pre-feasibility studies for proposed project

COUNTY	BUSIA	Urban Area	BUSIA MUNICIPALITY
Type of Project	URBAN SOCIO---ECONOMIC INFRASTRUCTURE-		
Name of Project	FABRICATION AND ERECTION OF MODERN MARKET STALLS		
1	Confirm proposed infrastructure project is in conformity with the KUSP investment menu	√	Economic Infrastructure
2	Confirm that the proposed infrastructure project comes from an approved CIDP, a Town Plan, Municipal Investment Plan or IDeP	√	Municipal ADP
3	Physical location of proposed infrastructure project (indicate) Neighbourhood? Coordinates?	√	00°27'48.0"N, 34°06'19.0"E (Latitude:0.463333; Longitude:34.105278
4	Confirm land ownership and accessibility: that there are no encumbrances to title and access (Indicate the documentary evidence available)	√	County
5	Confirm its land-use feasibility (will it get planning permission), as part of a feasibility report	√	Prefeasibility study report available
6	Stage at which designs are (indicate)	×	Inception
7	Confirm the availability of engineering designs, Bills of Quantities (BOQs) and drawings, prepared by registered, engineering, quantity surveying and /or architectural professionals	×	Inception
8	Confirm design standards used (indicate)	×	Inception
9	Confirm whether designs take into account urban resilience, gender, disability and climate change considerations	×	At inception
10	Confirm proposed infrastructure project cost estimate (indicate)	×	Ksh. 45 million
11	Confirm alternative funding if available (indicate)	√	County will indicate clearly amount for alternative funding
12	Confirm review and endorsement of proposal by other county departments if applicable (indicate)	√	County to confirm
13	Confirm whether project is a new proposal or a partially implemented. If partially implemented, indicate reasons for stoppage (indicate)	√	New
14	Confirm operations and maintenance arrangements (indicate)	√	County to indicate
15	Confirm availability of Environmental and Social Impact Assessment documents/tools prepared by registered professional(s) and status of acquisition of National Environment Management Authority (NEMA) license for project. This to also include a Resettlement Action Plan (RAP) were applicable (indicate)	×	Process ongoing
16	Confirm availability of team/experts to supervise/	√	Team available under the CPCT

	execute environment and social management (indicate)		
17	Confirm whether there are social issues surrounding the project (indicate)	v	Resettlement of existing traders
18	Confirm availability of a grievance handling mechanism or arrangements for handling/resolving social issues if any (indicate)	v	Municipal Social Development team and Sub-county administrators
19	Confirm process undertaken for citizen engagement and participation for the proposed infrastructure project (indicate)	v	Citizen Fora held
20	Confirm expected number of beneficiaries of the proposed infrastructure project including gender dimension (indicate)	v	Estimated 500 traders
21	Confirm economic justification for the proposed infrastructure project (indicate)	v	Opening up of the area economically Increase in revenue through taxes..
22	Confirm how county will ensure there will be value for money for the proposed infrastructure project (indicate)	v	To confirm through M&E
23	Establish the capacity of the organisation to administer the procurement process (indicate)	v	County Procurement Dept in place and able to administer the procurement process needed under the programme
24	Confirm the level of knowledge and awareness by County Program Coordinating Team of Bank's Financial Management, Procurement and Anti-corruption guidelines regarding the program (indicate)	x	Capacity building needed
25	Establish the capacity of the organisation to supervise the implementation of the project (indicate)	v	Relevant Municipal Staff have been deployed by the County HR. Consultant Engineer procured to provide supervision support
26	Check current practices for constructing infrastructure in urban areas in the county (indicate)	v	Approvals, supervision done through set standards. County/Municipality working with line