



COUNTY GOVERNMENT OF BUSIA

**DEPARTMENT OF LANDS, HOUSING
& URBAN DEVELOPMENT**

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Municipality of Busia Investment Plan

&

Budget

FY 2020/2021

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1. INTRODUCTION

1.1 The Background for the preparation

This annual investment Plan and Budget for Financial Year 2020/2021 has been prepared by the department of Land, Housing and Urban Development under stewardship of County Executive Committee Member and in consultation with municipality of Busia.

The plan is anchored in the County Urban Institutional Development Strategy (CUIDS) and County Integrated Development Plan (CIDP) 2018–2022 and was prepared through consultation of county technical experts in planning, urban design and infrastructure and the general public and relevant stakeholders. Invitations were written to different entities inviting them for the stakeholder’s fora.

1.2 Proposed project area

Alupe Complex Area is more critical to development of the Municipality considering its key role as the educational centre within the Municipality and the county at large. It houses a satellite campus of Moi University, Kenya Agricultural Research Institute (KARI), Kenya Medical Research Institute (KEMRI), Lake Basin Development Authority, Nile Basin Initiative among other research institutions. It also harbors education institutions like Alupe Primary school and Alupe Catholic Church. However, the road network in it is in poor condition and design while some have not been planned for or opened.

2. CONTEXT

The main objectives are; to address urban planning issues, to address urban infrastructure deficit and deliver services to Municipality residents.

During the 2019–2020, the municipality upgraded to bitumen standard 2km gravel road, constructed storm water drainage, car parking and renovated municipality offices. We also installed one (1) high mast floodlights.

3. INVESTMENT PRIORITIZATION

This municipal annual investment plan highlights the project that will be implemented in the year 2020/2021. The prioritizations of projects were provided by the executive, the municipal board and the public.

3.1 Projects identified by the Municipal Board

The projects identified by the board are contained in the County Urban Institutional Development Strategy and are reflected in the County Integrated Development Plan 2018–2022. In this document some of the challenges identified and required attention includes:

- i. Lack of proper waste disposal sites in Busia urban centre- thus leaving only one damp site for Whole Municipality which still is in deplorable state;
- ii. Lack of sewerage systems;
- iii. Lack of storm water drainage system;
- iv. Lack of amenities like slaughter houses, stadiums, cemetery, library and land for expansion within the peri-urban and agricultural rural set up;
- v. Lack of bitumen roads;
- vi. Lack of disaster management center;
- vii. Lack of built up market areas to serve the huge cross border traders creating huge population of hawkers; and
- viii. Substandard stalls to meet the huge market demand.

3.2 Summarized priorities raised by the County Government (County Assembly and Executive)

Projects prioritized by the executive are contained in the County Urban Institutional Development Strategy and are reflected in the County Integrated Development Plan 2018–2022 which forms part of the planning documents for the county.

3.3 Summarized priorities and issues raised during citizen

- i. Lack of tarmacked roads within Alupe Complex;
- ii. Storm water drainages
- iii. Street lights and;
- iv. Non-motorized Transport facilities.

After stakeholders' consultations, consensus was reached that for FY 2020/2021, the Municipality should upgrade selected gravel roads to bituminous standards and it should have street lights, Non-motorized transport facilities and Storm water Drainage works within Alupe Complex.

4. URBAN INVESTMENT PLAN AND BUDGET-UDG FY 2020/ 2021

The UDG menu of eligible investment/expenditure areas are classified into five categories, namely:

1. Waste management (Liquid and solid)
2. Storm water drainage
3. Connectivity (roads, non-motorized transport facilities, street and security lights)
4. Urban Socio Economic infrastructure
5. Fire and Disaster management

This investment plan for this financial year has identified the following two investment areas

4.1 Connectivity (roads, non-motorized transport facilities, street and security lights)

The municipal board will venture to undertake the following: upgrading of gravel roads to bituminous standards, non-motorized transport facilities and installation of street lights.

4.2 Storm water drainage

Under this investment area the municipal board will undertake to construct side and mitre drains and culverts.

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|----------------------|-----------------------------|---|---|---|---|---|---|---|---|---|---|---|---|
| Storm water drainage | Project preparation designs | ■ | ■ | ■ | | | | | | | | | |
| | Procurement | | | | ■ | | | | | | | | |
| | Project Implementation | | | | | ■ | ■ | ■ | ■ | ■ | ■ | ■ | ■ |

5. JUSTIFICATION AND EXPECTED OUTCOMES

5.1 Justification

5.1.1 Connectivity (roads, non-motorized transport facilities, street and security lights)

Alupe Complex has the potential of developing into a major educational centre that will serve the municipality and the whole region. However, it lacks the necessary infrastructure and services like sewerage treatment works, housing and a commercial node to enable it function efficiently. Moreover, the road network in it is in poor condition and design while some have not been planned for or opened

Lack of security lights also promotes crime since criminals hide in dark places waiting to steal from non-suspecting residents and students.

5.1.2 Storm water drainage

Flooding of roads and buildings within Alupe complex due to poor and/or blocked drains causes havoc to the residents and the therein institution. It is during these flooding that water borne diseases are on the rise.

5.1.3 Design, costing and supervision of investment projects

The municipal board will also set aside 5% of the UDG allocation, which amounts to KShs. 5,050,000 to be used for design, costing and supervision of investment.

5.2 Expected outcomes

Upgrading of gravel roads to bitumen standards will promote accessibility of the institutions within Alupe Complex whilst the street lights will enhance illumination of the streets at night thence boosting security. Traders will be able to conduct business till late into the night and that will mean they are able to improve their earning power and promote 24hrs economy.

Storm water drainage works will arrest incessant flooding of buildings, houses and roads hence prolonged design life of physical infrastructure while cutting cost on maintenance.

6. IMPLEMENTATION MODALITIES AND TIMEFRAME

These projects will be implemented through the Municipality which will be the lead agency in line with the Urban Areas and Cities Act, 2011. The Municipality will undertake project implementation in collaboration with line agencies such as Department of Infrastructure and Energy, Kenya Power, NEMA, Water and sewerage provider and other key stakeholders.

The modalities under which the municipal board will observe as it implements this investment plan include:

- i. The projects will be undertaken in one fiscal year, the F/Y 2020/2021.
- ii. E-procurement will be done to ensure transparency during the procurement process.
- iii. The Municipality will oversee the implementation of the projects and will carry out the day to day operations of the municipal board.

6.1 Environmental and Social Management Systems

As expected in investment menu, that the proposed investment projects will have low to moderate environmental impacts and that these, if any, may occur during project implementation. Many of these will be prevented or otherwise mitigated through appropriate standard operating procedures and construction management practices that will be stipulated in the tender documents.

In order to further mitigate and avoid adverse environmental impacts, we shall ensure we do not:

- i. Generate irreversible environmental impacts on affected parties and third parties;
- ii. Impact negatively on the natural habitat;
- iii. Impact on physical and cultural resources; or
- iv. Cause serious occupational or health risks

Annex 1. Pre-feasibility studies for proposed project

| COUNTY | BUSIA | Urban Area | BUSIA MUNICIPALITY |
|-----------------|---|------------|---|
| Type of Project | CONNECTIVITY/URBAN ECONOMIC INFRASTRUCTURE | | |
| Name of Project | PROPOSED UPGRADING TO BITUMEN STANDARD OF ALUPE COMPLEX ACCESS ROADS | | |
| 1 | Confirm proposed infrastructure project is in conformity with the KUSP investment menu | √ | Yes Storm water drainage Connectivity (roads, non-motorized transport facilities, street and security lights) |
| 2 | Confirm that the proposed infrastructure project comes from an approved CIDP, a Town Plan, Municipal Investment Plan or IDeP | √ | Yes i. CUIDS ii. CIDP |
| 3 | Physical location of proposed infrastructure project (indicate) Neighbourhood? Coordinates? | √ | 0°29'49"N 34°08'02"E |
| 4 | Confirm land ownership and accessibility, that there are no encumbrances to title and access (Indicate the documentary evidence available) | √ | County |
| 5 | Confirm its land-use feasibility (will it get planning permission), as part of a feasibility report | √ | Prefeasibility study report available |
| 6 | Stage at which designs are (indicate) | × | Inception |
| 7 | Confirm the availability of engineering designs, Bills of Quantities (BOQs) and drawings, prepared by registered, engineering, quantity surveying and /or architectural professionals | × | Inception |
| 8 | Confirm design standards used (indicate) | × | Inception |
| 9 | Confirm whether designs take into account urban resilience, gender, disability and climate change considerations | × | At inception |
| 10 | Confirm proposed infrastructure project cost estimate (indicate) | × | Ksh. 95 million |
| 11 | Confirm alternative funding if available (indicate) | √ | County will indicate clearly amount for alternative funding |
| 12 | Confirm review and endorsement of proposal by other county departments if applicable (indicate) | √ | County to confirm |
| 13 | Confirm whether project is a new proposal or a partially implemented. If partially implemented, indicate reasons for | √ | New |

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|----|---|---|--|
| | stoppage (indicate) | | |
| 14 | Confirm operations and maintenance arrangements (indicate) | √ | County to indicate |
| 15 | Confirm availability of Environmental and Social Impact Assessment documents/tools prepared by registered professional(s) and status of acquisition of National Environment Management Authority (NEMA) license for project. This to also include a Resettlement Action Plan (RAP) were applicable (indicate) | × | Inception |
| 16 | Confirm availability of team/experts to supervise/ execute environment and social management (indicate) | √ | Experts available |
| 17 | Confirm whether there are social issues surrounding the project (indicate) | √ | No social issues |
| 18 | Confirm availability of a grievance handling mechanism or arrangements for handling/resolving social issues if any (indicate) | √ | Municipal Social Development team and Sub-county administrators |
| 19 | Confirm process undertaken for citizen engagement and participation for the proposed infrastructure project (indicate) | √ | Citizen Fora held |
| 20 | Confirm expected number of beneficiaries of the proposed infrastructure project including gender dimension (indicate) | √ | Estimated 300 Artisan , 500 traders |
| 21 | Confirm economic justification for the proposed infrastructure project (indicate) | √ | Opening up of the area economically Increase in revenue through taxes.. |
| 22 | Confirm how county will ensure there will be value for money for the proposed infrastructure project (indicate) | √ | To confirm through M&E |
| 23 | Establish the capacity of the organization to administer the procurement process (indicate) | √ | County Procurement Dept in place and able to administer the procurement process needed under the programme |
| 24 | Confirm the level of knowledge and awareness by County Program Coordinating Team of Bank's Financial Management, Procurement and Anti-corruption guidelines regarding the program (indicate) | × | Capacity building needed |

| | | | |
|----|--|---|--|
| 25 | Establish the capacity of the organization to supervise the implementation of the project (indicate) | √ | Competent supervisory team available |
| 26 | Check current practices for constructing infrastructure in urban areas in the county (indicate) | √ | Approvals, supervision done through set standards. County/Municipality working with line |